

## **PCT**

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

14

Applicant's	or ag	ent's file reference	T					
402562V	_		FOR FURTHER ACT	TION		ion of Transmittal of Internation Examination Report (Form PC		
Internation	al app	lication No.	International filing date (da	ay/month/	year)	Priority date (day/month/yea	r)	
PCT/EP	00/02	2617	23/03/2000			01/04/1999	•	
International H04L9/0		ent Classification (IPC) or nat	tional classification and IPC					
KONINK	LIJK	E KPN N.V.						
1. This i and is	<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>							
2. This F	REPO	ORT consists of a total of	5 sheets, including this co	cover sh	eet.			
b	This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).							
These	These annexes consist of a total of 9 sheets.							
3. This r	eport	contains indications relat	ing to the following items:	<b>::</b>				
1	$\boxtimes$	Basis of the report						
11		Priority .						
111	$\boxtimes$	Non-establishment of or	oinion with regard to nove	elty, inve	ntive step an	d industrial applicability		
IV		Lack of unity of invention	า					
V		Reasoned statement uncitations and explanation	der Article 35(2) with regans suporting such stateme	ard to no ent	ovelty, invent	ive step or industrial appli	icability;	
VI		Certain documents cited	d					
VII		Certain defects in the int	• •					
VIII	×	Certain observations on	the international applicati	tion				
Date of sub	missio	n of the demand	D	Date of co	mpletion of this	s report		
30/06/200	00			8.06.200	•			
	exami	address of the international ning authority:	A	uthorized	fofficer	-	SOUS MIDITOR	
<u></u>	D-80 Tel	pean Patent Office 298 Munich +49 89 2399 - 0 Tx: 523656 ( +49 89 2399 - 4465	epmu d	Snell, T	No. +49 89 23	99 8802	State of the state	



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT



International application No. PCT/EP00/02617

ı.	Ва	sis of the report						
1.	1. With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): Description, pages:							
	1-7	,	as received on	23/04/2001	with letter of	13/04/2001		
	Cla	aims, No.:						
	1-8	ı	as received on	23/04/2001	with letter of	13/04/2001		
	Dra	awings, sheets:						
	1/3	-3/3	as originally filed					
2.	Wit lan	h regard to the <b>lang</b> guage in which the	guage, all the elements marked international application was file	l above were a ed, unless othe	vailable or furnished t erwise indicated unde	to this Authority in the r this item.		
	The	ese elements were	available or furnished to this Au	thority in the fo	ollowing language: ,	which is:		
		the language of a	translation furnished for the pu	rposes of the i	nternational search (u	inder Rule 23.1(b)).		
			ublication of the international ap			` ''		
		the language of a 55.2 and/or 55.3).	translation furnished for the pur	rposes of inter	national preliminary e	xamination (under Rule		
3.	Witl inte	h regard to any <b>nuc</b> rnational preliminar	eleotide and/or amino acid sery examination was carried out	<b>quence</b> discloson the basis of	sed in the internationa f the sequence listing:	al application, the		
		contained in the in	ternational application in writter	n form.				
			the international application in		able form.			
			ently to this Authority in written	•				
		furnished subsequ	ently to this Authority in compu	ter readable fo	orm.			
		The statement tha	t the subsequently furnished wi pplication as filed has been furr	ritten sequence		eyond the disclosure in		
		The statement tha listing has been fu	t the information recorded in co rnished.	mputer readat	ple form is identical to	the written sequence		
4.	The	amendments have	resulted in the cancellation of:					

pages:

Nos.:

☐ the description,

 $\Box$  the claims,



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT



International application No. PCT/EP00/02617

		the drawings,	sheets:
5.			established as if (some of) the amendments had not been made, since they have been ond the disclosure as filed (Rule 70.2(c)):
		(Any replacement sh report.)	eet containing such amendments must be referred to under item 1 and annexed to this
6.	Add	itional observations, if	necessary:
III.	Non	n-establishment of op	pinion with regard to novelty, inventive step and industrial applicability
1.			e claimed invention appears to be novel, to involve an inventive step (to be non- ally applicable have not been examined in respect of:
	×	the entire internationa	al application.
		claims Nos	
be	caus	e:	•
	⊠		application, or the said claims Nos. 1-8 relate to the following subject matter which does tional preliminary examination ( <i>specify</i> ):
		the description, claim that no meaningful op	s or drawings (indicate particular elements below) or said claims Nos. are so unclear inion could be formed (specify):
		the claims, or said cla	ims Nos. are so inadequately supported by the description that no meaningful opinion
		no international searc	h report has been established for the said claims Nos
	and/	eaningful international or amino acid sequen uctions:	preliminary examination cannot be carried out due to the failure of the nucleotide ce listing to comply with the standard provided for in Annex C of the Administrative
			ot been furnished or does not comply with the standard. e form has not been furnished or does not comply with the standard.

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

# INTERNATIONAL PRELIMINARY

International application No. PCT/EP00/02617

#### **EXAMINATION REPORT - SEPARATE SHEET**

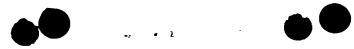
#### **Cited documents**

- D1: EP-A-0 801 477 (TOKYO SHIBAURA ELECTRIC CO) 15 October 1997 (1997-10-15)
- D2: US-A-4 979 832 (RITTER TERRY F) 25 December 1990 (1990-12-25)
- D3: SCHUETT D ET AL: 'CRYPTOGRAPHIC PERMUTATIONS BASED ON BOOT DECOMPOSITIONS OF WALSH MATRICES' COMPUTER AIDED SYSTEMS THEORY. EUROCAST. SELECTION OF PAPERS FROM THE INTERNATIONAL WORKSHOP ON COMPUTER AIDED SYSTEMS THEORY, 1 February 1997 (1997-02-01), pages 580-590, XP002070120 BERLIN (DE)
- D4: EP-A-0 267 647 (PHILIPS NV) 18 May 1988 (1988-05-18)
- D5: MIYAGUCHI S: 'SECRET KEY CIPHERS THAT CHANGE THE ENCIPHERMENT ALGORITHM UNDER THECONTROL OF THE KEY' NTT REVIEW, vol. 6, no. 4, 1 July 1994 (1994-07-01), pages 85-90, XP000460342 TOKYO (JP)
- D6: US-A-4 157 454 (BECKER) 5 June 1979 (1979-06-05)

#### Re Item III

## Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

- 1. The method claimed in claims 1-8 is purely mathematical in character and thus relates to matter not requiring international preliminary examination under Rule 67.1(i) PCT (see PCT Guidelines IV-2.4(a)).
- 2. Although claim 1 now defines a "method for authentication of a string of input characters", authentication is in itself merely a mathematical problem. It only becomes technical when embedded in a real system, eg when the method is for authentication of a string of input characters transmitted from a sender to a receiver (cf decision T 208/84 (VICOM) of the Technical Board of Appeal of the European Patent Office, in particular point 5 of the reasons for the decision).
- 3. However, if the above objection had been overcome, or were to be overcome in a subsequent regional phase, it appears that the method of claim 1 would be novel and involve an inventive step over the prior art D1-D6 (Articles 33(1)-(3) PCT):



# INTERNATIONAL PRELIMINARY

International application No. PCT/EP00/02617

**EXAMINATION REPORT - SEPARATE SHEET** 

The essential features of the method according to the invention are that before encryption, the encryption function is modified under control of the input characters, and subsequently the input characters are encrypted by the modified function.

D1 discloses a modified function, but not under control of the input characters.

D2 discloses a modifying function as part of the encryption algorithm itself.

D3 discloses an r-round product cipher, whereby on each encryption round the key is changed, but not the encryption function.

D4 discloses a system in which an instruction command specifies the encryption function, but the encryption function is not chosen based on the input characters to be encrypted.

In D5, the encryption function is modified based on a key, but not on the input characters to be encrypted.

In D6, a key is modified based on the input characters, but the function is unchanged.

Although various combinations of documents could theoretically produce the invention, combining encryption functions is considered to be artificial and to involve an excessive use of hindsight given the large number of possible combinations and the lack of obvious compatibility between different algorithms.

#### Re Item VIII

#### Certain observations on the international application

1. Claim 1 is not clear and does not define all the essential features of the invention, as although claim 1 claims a method for authentication, only an algorithm is defined, without any features being defined which would indicate how this algorithm is embedded in a method for authentication, eq there is no mention of the transmitted and received message (Article 6 PCT).





#### INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

KLEIN, Bart KONINKLIJKE KPN N.V. P.O. Box 95321 NL-2509 CH Den Haag **PAYS-BAS** 

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY **EXAMINATION REPORT** 

(PCT Rule 71.1)

Date of mailing

(day/month/year)

28.06.2001

Applicant's or agent's file reference 402562WO

PCT/EP00/02617

International application No.

International filing date (day/month/year)

23/03/2000

Priority date (day/month/year)

IMPORTANT NOTIFICATION

01/04/1999

Applicant

KONINKLIJKE KPN N.V.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

**European Patent Office** D-80298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4465

Authorized officer

Barrio Baranano, A

Tel.+49 89 2399-8621





# **PCT**

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

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``	ent's file reference	FOR FURTHER ACTION		ation of Transmittal of International					
402562WO	**************************************	TOTT OTTO	——————	/ Examination Report (Form PCT/IPEA/416)					
International app		International filing date (day/mor	nth/year)	Priority date (day/month/year)					
PCT/EP00/02	2617 	23/03/2000		01/04/1999					
International Pat H04L9/06	International Patent Classification (IPC) or national classification and IPC H04L9/06								
Applicant									
Applicant	5 1/DN ALN								
KONINKLIJK	E KPN N.V.								
	<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>								
2. This REPO	ORT consists of a total of	5 sheets, including this cover	sheet.						
This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). These annexes consist of a total of 9 sheets.									
3. This repor	t contains indications rela Basis of the report	ating to the following items:		i					
🗵		pinion with regard to novelty, i	nventive step	and industrial applicability					
V 🗆			o novelty, inve	entive step or industrial applicability;					
vı □	Certain documents cite	•							
VII 🗆	Certain defects in the in	nternational application							
VIII ⊠	Certain observations or	the international application							
		,							
Date of submissi	on of the demand	Date o	f completion of	this report					
30/06/2000		28.06	2001						
preliminary exam	= •	I Autho	ized officer	STATE OF STA					
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Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465  Telephone No. +49 89 2399 8802									



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT



International application No. PCT/EP00/02617

I. Ba	asis	of ·	the	report
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١.	the and	receiving Office in	nents of the international applications application under the contract of this report since they do not detailed.	Article 14 are	referred to in this rep	oort as "originally filed"
	1-7		as received on	23/04/2001	with letter of	13/04/2001
	Cla	ims, No.:				
	1-8		as received on	23/04/2001	with letter of	13/04/2001
	Dra	wings, sheets:				
	1/3	-3/3	as originally filed			
2.	With lang	h regard to the <b>lang</b> guage in which the i	guage, all the elements marked international application was file	above were a	vailable or furnished erwise indicated unde	to this Authority in the er this item.
	The	ese elements were a	available or furnished to this Au	thority in the fo	ollowing language:	, which is:
		the language of a	translation furnished for the pu	poses of the i	nternational search (ı	under Rule 23.1(b)).
		the language of pu	ublication of the international ap	plication (unde	er Rule 48.3(b)).	
		the language of a 55.2 and/or 55.3).	translation furnished for the pu	poses of inter	national preliminary e	examination (under Rule
3.	With inte	n regard to any <b>nuc</b> rnational preliminar	eleotide and/or amino acid sec y examination was carried out	quence discloson the basis of	sed in the internation the sequence listing	al application, the :
		contained in the in	ternational application in writter	n form.		
		filed together with	the international application in	computer read	able form.	
		furnished subsequ	ently to this Authority in written	form.		
		furnished subsequ	ently to this Authority in compu	ter readable fo	rm.	
			t the subsequently furnished w pplication as filed has been furr		e listing does not go t	peyond the disclosure in
		The statement that listing has been full	t the information recorded in co mished.	mputer readab	ole form is identical to	the written sequence
١.	The	amendments have	resulted in the cancellation of:			
		the description,	pages:			
		the claims,	Nos.:			



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT



International application No. PCT/EP00/02617

		the drawings,	sheets:
5.			established as if (some of) the amendments had not been made, since they have been ond the disclosure as filed (Rule 70.2(c)):
		(Any replacement sh report.)	eet containing such amendments must be referred to under item 1 and annexed to this
6.	Add	litional observations, i	f necessary:
111.	Nor	n-establishment of o	pinion with regard to novelty, inventive step and industrial applicability
1.	obv	ious), or to be industri	e claimed invention appears to be novel, to involve an inventive step (to be non- ally applicable have not been examined in respect of:
	_	the entire internation	ai application.
		claims Nos	
be	caus	se:	
	×		application, or the said claims Nos. 1-8 relate to the following subject matter which doe ational preliminary examination ( <i>specify</i> ):
			ns or drawings ( <i>indicate particular elements below</i> ) or said claims Nos. are so unclear binion could be formed ( <i>specify</i> ):
		the claims, or said cla	aims Nos. are so inadequately supported by the description that no meaningful opinion
		no international searc	ch report has been established for the said claims Nos
2.	and		I preliminary examination cannot be carried out due to the failure of the nucleotide ace listing to comply with the standard provided for in Annex C of the Administrative
		the written form has r	not been furnished or does not comply with the standard.
		the computer readab	le form has not been furnished or does not comply with the standard.
VII	l. Ce	ertain observations o	n the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the

see separate sheet

claims are fully supported by the description, are made:



# INTERNATIONAL PRELIMINARY

International application No. PCT/EP00/02617

#### **EXAMINATION REPORT - SEPARATE SHEET**

#### **Cited documents**

- D1: EP-A-0 801 477 (TOKYO SHIBAURA ELECTRIC CO) 15 October 1997 (1997-10-
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- D6: US-A-4 157 454 (BECKER) 5 June 1979 (1979-06-05)

#### Re Item III

## Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

- 1. The method claimed in claims 1-8 is purely mathematical in character and thus relates to matter not requiring international preliminary examination under Rule 67.1(i) PCT (see PCT Guidelines IV-2.4(a)).
- 2. Although claim 1 now defines a "method for authentication of a string of input characters", authentication is in itself merely a mathematical problem. It only becomes technical when embedded in a real system, eg when the method is for authentication of a string of input characters transmitted from a sender to a receiver (cf decision T 208/84 (VICOM) of the Technical Board of Appeal of the European Patent Office, in particular point 5 of the reasons for the decision).
- However, if the above objection had been overcome, or were to be overcome in a 3. subsequent regional phase, it appears that the method of claim 1 would be novel and involve an inventive step over the prior art D1-D6 (Articles 33(1)-(3) PCT):



# INTERNATIONAL PRELIMINARY Inter EXAMINATION REPORT - SEPARATE SHEET

International application No. PCT/EP00/02617

The essential features of the method according to the invention are that before encryption, the encryption function is modified under control of the input characters, and subsequently the input characters are encrypted by the modified function.

D1 discloses a modified function, but not under control of the input characters.

D2 discloses a modifying function as part of the encryption algorithm itself.

D3 discloses an r-round product cipher, whereby on each encryption round the key is changed, but not the encryption function.

D4 discloses a system in which an instruction command specifies the encryption function, but the encryption function is not chosen based on the input characters to be encrypted.

In D5, the encryption function is modified based on a key, but not on the input characters to be encrypted.

In D6, a key is modified based on the input characters, but the function is unchanged.

Although various combinations of documents could theoretically produce the invention, combining encryption functions is considered to be artificial and to involve an excessive use of hindsight given the large number of possible combinations and the lack of obvious compatibility between different algorithms.

#### Re Item VIII

#### Certain observations on the international application

 Claim 1 is not clear and does not define all the essential features of the invention, as although claim 1 claims a method for authentication, only an algorithm is defined, without any features being defined which would indicate how this algorithm is embedded in a method for authentication, eg there is no mention of the transmitted and received message (Article 6 PCT).

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Method for authentication of a string of input characters

The invention relates to a method according to the preamble of claim 1.

A method of said type is disclosed in EP-A-0399587. With the known method, the function ("algorithm") applied for enciphering consists of a non-linear function formed by a substitution box ("S box") generated as a function of the key. The document provides no further description of the way in which the substitution box is generated. For obtaining good statistical properties of the output of the substitution box with respect to variable import, a string of characters obtained by applying the substitution box are combined with just as long a string of statistically well-distributed characters. The string of characters obtained in this connection may be used for enciphering a string of input characters to be enciphered in an enciphered string of output characters. By applying a key-dependent substitution box instead of a permanent substitution box, the enciphering function is reinforced.

An objection to the known method is that, when there is substantially always used the same key, said reinforcement of the enciphering function in practice is appreciably annihilated. Such may occur, e.g., upon authentication when using a chip card, such as a calling card and a GSM card.

The object of the invention is to exclude the drawbacks of the known method. To this end, the invention provides a method as described in claim 1.

The sender of the enciphered string of output characters and the receiver of said series must both dispose of the same key and the string of input characters used for enciphering, at any rate the portion of the latter series used for modifying the function. As a result, the method is particularly suited for authentication, the receiver of an enciphered string of characters being capable of checking whether a sender having an identity suggested to the receiver has utilised a corresponding key, and in the event of a positive outcome of said check, the identity of the sender is ensured to the receiver.

The string of characters used for modifying the function are particularly variable and are, e.g., a challenge number generated per session, any (different) number, or a variable attribute of the sender, such as a balance kept up to date on a chip card.

If the non-linear function used for enciphering were an invertible function, the receiver of the enciphered string of characters may carry



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out said check using the same function, the same key and the received string of characters as an input for the function. The result must be equal to the string of input characters used for enciphering.

Since the receiver may also carry out the check by executing the same operations as the ones carried out by the sender, the series received by the receiver having to be equal to the series generated by the receiver. In such case, it is not required that the function be an invertible function, as a result of which, in the event of the complexity remaining constant, there may be realised a stronger enciphering function which is more resistant against attacks.

The function applied to enciphering preferably is a non-linear function which may be formed by way of a substitution box or a cryptographic function, such as a function in which, depending on the input and the key, specific operations are carried out or not.

It is noted that EP0801477 discloses an encryption method in which an "internal state" is controlling an encryption function which, in each encryption round, modifies the encryption function. According to the present invention, the encryption function is modified only once, in an initial step, while always, after the initial modification, the same encryption function is used in every new encryption round. Contrary to that in the known method the encryption function is modified in every encryption round. Further, in the known method the encryption function is not modified on the basis of the input text. According to the present invetnion the input text forms an essential parameter in modifying the encryption function.

Next, it is noted that US4979832 discloses an enciphering method in which a pseudo-random input string is added to an encryption function. The pseudo-random string used in the encryption function also has to be available in the decryption process. In the known method the encryption function is dynamically (continuously) modified during the encryption processes. This is essential in the method according otherwise the system would be highly insecure. According to the present invention, however, there is only an initial modification of the encryption function, prior to the encryption process itself. Consequently, during the subsequent encryption process the encryption function is not changed any more. The known method is aimed at encryption/decryption. The method according to the invention is specifically designed for authentication and even can in practice not be used for encryption/decryption.

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Further properties and advantages of the invention will become clear from the explanation following below of embodiments of the invention in conjunction with the enclosed drawings, in which:

FIG. 1 shows a diagram of a known enciphering function;

FIG. 2 shows a diagram of a first embodiment of the invention;

FIG. 3 shows a flow diagram for the operation of the embodiment according to FIG. 2; and

FIG. 4 shows a different embodiment of the invention.

By way of a block 1, FIG. 1 presents a known enciphering function (or encryption function). The enciphering function utilises one or more functions 2, also presented by blocks. Assuming a string of input characters IN 3 to be enciphered, the enciphering function using a secret key 4 determines an enciphered string of output characters EXIT 5. The known enciphering function DES [= Data Encryption Standard] operates according to said principle, eight non-linear functions being used which are formed by substitution boxes ("S boxes"). The invention is not limited, however, to the DES function; neither is it limited to using non-linear functions and substitution boxes for the functions.

FIG. 2 shows a diagram of an enciphering function 7 based on the enciphering function of FIG. 1 according to the invention. The functions are indicated by reference numeral 8. The functions 8 may be modified by applying an associated reference function 9 based on the string of input characters IN 3 or part thereof. The modification functions 9 need not be equal.

Below, the operation of the enciphering function of FIG. 2 will be explained with reference to the flow diagram of FIG. 3.

A modification function 9 modifies the function 8 based on a string of modification characters initially derived from the string of input characters IN 3 (block 11). Modifying the function 8 takes place in several steps, namely, the steps n=0 to n=Nmax inclusive, Nmax being permitted to be permanent or also depending on, e.g., the series IN 3. That is why, at the start of the modification of the function 8, a step counter is reset (block 12). Subsequently, the function 8 is modified, based on the value of n and the modification series (block 13). Then the number of steps counted is incremented by 1 (block 14). Subsequently, it is checked whether the function 8 has already been modified the maximum number of times (block 15). When this condition is met, the modification of the function 8 is terminated; otherwise the string of modification characters are modified (step 16) and the function 8 is modified once again based on the

new value of n and the modified string of modification characters (step



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13). In Box I following below, an example is given for the operation of the enciphering function 7 shown in FIG. 2.

TABLE I

Step n	String of modification characters for n>0 x(2):= (x(0) + x(1))mod8				From step n=0, exchange y(nmod8) and y(x(0))										
		x(0) x			i y(i)		0 3	0	2 5	3 7	4 6	5 4	.6 1	7 2 .	
0	5	2	3			4	0	5	7	6	3	1	2		
1	2	3	7			4	5	Ω	7	6	3	1	2		<u></u>
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4	5	2	4			4	5	7	2	3	6	1	0		
5	2	4	7			4	5	6	2	3	7	1	0		
6	4	7	6			4	5	6	2	1	7	3	0		
7	7	6	3			4	5	6	2	1	7	3	Q		
8	6	3	5			1	5	6	2	4	7	3	0		
9	3	5	1			1	2	6	5	4	7	3	0		

It is assumed that the set of characters comprises eight characters, shown in the Table with the numerals 0 to 7 inclusive. It is further assumed that the function 8 is formed by a substitution box. Said box may be realised by a rewritable memory having eight memory locations containing addresses or sequential numbers 1=0...7. The memory locations each comprise one of the characters, each character figuring only once in the memory locations. In Table I, the content of a memory location having address or sequential number i is indicated by y(i). Initially, the memory locations for i=0...7 contain the characters 3, 0, 5, 7, 6, 4, 1, 2, respectively. Said string of characters form an initial substitution box. A character of a string of characters to be enciphered is considered to be address or sequential number i, and is replaced by the character in the memory location having said address. According to the initial substitution box of Table I, e.g., 0 is therefore replaced by 3, 1 by 0, 2 by 5, ..., 7 by 2.

Before a string of characters to be enciphered are actually enciphered, according to the invention the initial substitution box is modified first. According to the example of Table I, modification takes place in ten steps (step n=0 to n=Nmax inclusive). The modification takes

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place depending on the characters of the string of characters to be enciphered, at any rate of several characters thereof. In Table I, the characters to be enciphered which are used for the modification of the substitution box are the characters 5, 2 and 3 indicated at step n=0. Said characters are allotted to variables x(0), x(1) and x(2), respectively.

During the first step with n=0, the character y(n), i.e., the character 3 of memory location 0, is exchanged with the character y(x(0)), namely, character 4 of location x(0)=5. In Table I, for clarity's sake, the exchanged characters of the substitution box of eight characters are underlined for each of the ten steps n=0, ...9.

Subsequently, there is calculated an auxiliary variable h, which is equal to:

h=(x(0)+x(1)) modulo (the number of possible characters), or in the example h=(x(0)+x(1)) modulo 8.

Subsequently, the characters of the string of modification characters x(0), x(1) and x(2) are replaced as follows (":=" means "becomes", i.e., an allotment).

20 x(0) := x(1),x(1) := x(2), and x(2) := h.

For each step, modifying characters based on the step number and the characters of the string of modification characters are repeated a suitable number of times, in the example of Table I Nmax+1=10 times. At the end of said modification function, the initial substitution box:

3, 0, 5, 7, 6, 4, 1, 2
has been replaced by a final substitution box:
1, 2, 6, 5, 4, 7, 3, 0.

Subsequently, the characters of an input series to be enciphered may, according to the order of the characters in the eventual substitution box, be replaced for providing an output string of enciphered characters. As a result, in the example the string of input characters 5, 2, 3 are replaced by 7, 6, 5, respectively. Said string of output characters are used for possible further steps of the enciphering function.

FIG. 4 shows the diagram of an enciphering function 18 which differs from the enciphering function 5 of FIG. 2 in that the modification function 9 is replaced by a modification function 19. Just as the modification function 19 depends on a number



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of characters IN 3 to be enciphered, but in addition on a number of characters of the key 4.

Table II offers an example of the operation of the modification function 19.

TABLE II

Step n	String of modification characters for n>0 x(2):=(x(0) + x(1))mod8					n	From step $n=0$ , exchange $y(nmod8)$ and $y(x(0))$								•		
	x(0) x(1)	×(2)	×		<del></del>	<del> </del>	i y(i)	0	1 0	2 5	3 7	4 6	5 4	6	7 2		
0	5	2	3	2	4				4	0	5	7	6	3	1	2	
1	2	3	2	4	7				4	5	Ω	7	6	3	1	2	
2	3	2	4	7	5				4	5	2	0	6	3	1	2	
3	2	4	7	5	5				4	5	Q	7	6	3	1	2	
4	4	7	5	5	6				4	5	0	7	6	3	1	2	
5	7	5	5	6	3				4	5	0	7	6	2	1	3	_
6	5	5	6	3	5				4	5	0	7	6	1	2	3	
7	5	6	3	5	2				4	5	0	7	6	3	2	1	
8	6	3	5	2	3	·			2	5	0	7	6	3	4	1	
9	3	5	2	3	1				2.	2	0	5	6	3	4	1	

Table II differs from Table I only in that the string of modification characters x(0), x(1), x(2) are completed by x(3), x(4). The characters x(3) and x(4) are derived from the key 4. In the example of Table II, the initial string of modification characters is 5, 2, 3, 2, 4. According to Table II, the eventual substitution box is:

2, 7, 0, 5, 6, 3, 4, 1.

The string of input characters IN 3 having the characters 5, 2, 3 is replaced, according to said eventual substitution box, by the enciphered string of output characters EXIT 20 having the characters 3, 0, 5.

The characters of the initial substitution box may be sorted at random for as long as both the sender of a string of enciphered characters UIT 5 and the receiver of the string of enciphered characters use the same initial substitution box. If it is possible to always meet said condition, the enciphering function may be reinforced by using, as an initial substitution box, a substitution box used during a preceding enciphering process, e.g., the most recently used eventual substitution

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box. If there is a danger that said condition is not always met, it may be provided that the receiver of the string of enciphered characters 5 recalls several of such preceding substitution boxes and uses an older one thereof if deciphering the series received leads to a negative check result.

Since, both during enciphering a string of characters and during deciphering thereof, the keys used must be equal and knowledge must be available on the string of enciphered characters IN 3, the receiver of the enciphered series may carry out exactly the same operation, i.e., enciphering, as the receiver has carried out, and compare the results to one another. In this event, a non-invertible function may be used for the function which, in the event of constant complexity, makes a stronger enciphering function possible.

The modification functions explained in conjunction with Tables I and II serve only as an example. For modifying the string of modification characters there may be applied, e.g., for each step, more than two and/or a different number of modulo additions, and the characters of the modification series may be rearranged in other ways instead of by way of simple shifting.



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#### **CLAIMS**

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- Method for authentication of a string of input characters (3) by means of an enciphering function (2, 8) enabled for enciphering said
   string of input characters under control of a string of key characters (4), comprising the steps of:
  - modifying, by application of a modification function, under control of a string of modification characters, said enciphering function;
- enciphering, by application of an enciphering function, under control
   of said string of key characters (4), said string of input characters,
   CHARACTERISED in that
  - said modification function (9, 19) is applied initially, prior to said application of the enciphering function and
- said initially applied modification function modifies the enciphering
   function (8) under control of modification characters which are derived from said string of input characters (3).
  - 2. Method according to claim 1, characterised in that said modification characters are also derived from said string of key characters (4).
  - 3. Method according to claim 1 or 2, characterised in that the modification function (9, 19) comprises the replacement of a character of the string of modification characters, by a replacement character obtained by an addition of two or more characters of the string of modification characters modulo the number of possible different characters.
  - 4. Method according to any preceding claim, characterised in that the modification function (9, 19) comprises the modification of sequence numbers of two or more of the characters of the string of modification characters.
  - 5. Method according to any preceding claim, characterised in that, for the modification of the function, there is used as an initial function the function which was used earlier for determining an earlier string of output characters (5, 20).

AMENDED SHEET





- 6. Method according to any preceding claim, characterised in that the function is a substitution function.
- 7. Method according to any of the claims 1 to 5 inclusive, characterised in that the function is a non-invertible function.
- 8. Method according to any of the preceding claims, characterised in that the function comprises a substitution box containing replacement characters for the characters of the string of input characters, and the modification function containing the exchange, depending on the string of modification characters, of two or more characters of the substitution box.



#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below							
402562W0	ACTION						
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)					
PCT/EP 00/02617	23/03/2000	01/04/1999					
Applicant							
KONINKLIJKE KPN N.V.							
This International Search Report has beer according to Article 18. A copy is being tra	n prepared by this International Searching Aut Insmitted to the International Bureau.	nority and is transmitted to the applicant					
This International Search Report consists	of a total of sheets.						
It is also accompanied by	a copy of each prior art document cited in this	report.					
Basis of the report							
<ul> <li>With regard to the language, the is language in which it was filed, unle</li> </ul>	international search was carried out on the basess otherwise indicated under this item.	sis of the international application in the					
the international search was Authority (Rule 23.1(b)).	as carried out on the basis of a translation of the	he international application furnished to this					
b. With regard to any nuclectide and was carried out on the basis of the	d/or amino acid sequence disclosed in the in a sequence listing :	ternational application, the international search					
	nal application in written form.						
filed together with the inte	mational application in computer readable form	n.					
	this Authority in written form.	·					
furnished subsequently to	this Authority in computer readble form.						
	sequently furnished written sequence listing do s filed has been furnished.	oes not go beyond the disclosure in the					
the statement that the info furnished	rmation recorded in computer readable form is	s identical to the written sequence listing has been					
2. Certain claims were four	nd unsearchable (See Box I).						
3. Unity of invention is lack	dng (see Box II).						
4. With regard to the title,							
X the text is approved as sul	bmitted by the applicant.						
the text has been establish	hed by this Authority to read as follows:						
	· ·						
5. With regard to the abstract,							
the text is approved as submitted by the applicant.							
the text has been establish	the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.						
6. The figure of the drawings to be publi		2					
X as suggested by the applic	cant.	None of the figures.					
because the applicant faile	ed to suggest a figure.	_					
because this figure better	characterizes the invention.						

# A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04L9/06

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols) IPC 7 HO4L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	C. DOCUMENTS CONSIDERED TO BE RELEVANT						
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.					
Y	EP 0 801 477 A (TOKYO SHIBAURA ELECTRIC CO) 15 October 1997 (1997-10-15) abstract column 2, line 37 - line 50 column 4, line 7 - line 30 column 5, line 11 - line 31 column 9, line 8 - line 58 column 10, line 9 - line 26 column 11, line 1 - line 15	1,6,8					
Y	US 4 979 832 A (RITTER TERRY F) 25 December 1990 (1990-12-25) column 5, line 50 -column 6, line 35 column 7, line 29 - line 40	1,6,8					

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents:  A' document defining the general state of the art which is not considered to be of particular relevance  E' earlier document but published on or after the international filing date  L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O' document referring to an oral disclosure, use, exhibition or other means  P' document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
5 June 2000	13/06/2000
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Authorized officer Holper G
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Holper, G



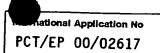


International Application No PCT/EP 00/02617

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	SCHUETT D ET AL: "CRYPTOGRAPHIC PERMUTATIONS BASED ON BOOT DECOMPOSITIONS OF WALSH MATRICES" COMPUTER AIDED SYSTEMS THEORY. EUROCAST. SELECTION OF PAPERS FROM THE INTERNATIONAL WORKSHOP ON COMPUTER AIDED SYSTEMS THEORY, 1 February 1997 (1997-02-01), pages 580-590, XP002070120 BERLIN (DE)	1.
A	EP 0 267 647 A (PHILIPS NV) 18 May 1988 (1988-05-18) column 2, last paragraph -column 3, line 12 column 3, line 52 -column 5, line 14	2
A	MIYAGUCHI S: "SECRET KEY CIPHERS THAT CHANGE THE ENCIPHERMENT ALGORITHM UNDER THECONTROL OF THE KEY" NTT REVIEW, vol. 6, no. 4, 1 July 1994 (1994-07-01), pages 85-90, XP000460342 TOKYO (JP) page 88, right-hand column, last paragraph -page 89, left-hand column, line 7	1
	US 4 157 454 A (BECKER) 5 June 1979 (1979-06-05) abstract; figure 1; table 1	1,2,6

1





		T		2. 00/0201/
Patent document cited in search repo	rt	Publication date	Patent family member(s)	Publication date
EP 0801477	A	15-10-1997	AU 687306 B CA 2173688 A US 5740249 A AU 5063996 A	19-02-1998 10-10-1997 14-04-1998 16-10-1997
US 4979832	Α	25-12-1990	NONE	<del></del>
EP 0267647	A	18-05-1988	NL 8602847 A AU 611653 B AU 8095087 A CA 1291801 A JP 2628660 B JP 63135035 A US 4890324 A	01-06-1988 20-06-1991 12-05-1988 05-11-1991 09-07-1997 07-06-1988 26-12-1989
US 4157454	A	05-06-1979	DE 2658065 A CA 1101509 A FR 2375679 A GB 1577539 A JP 1200707 C JP 53094844 A JP 58032380 B	06-07-1978 19-05-1981 21-07-1978 22-10-1980 05-04-1984 19-08-1978 12-07-1983

## From the INTERNATIONAL SEARCHING AUTHORITY

# KONINKLIJKE KPN N.V. Attn. KRUK, Wiggert Johan P.O. BOX 95321 NL-2595 CH THE HAGUE KPN GIE

## PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

ne THERLANDS	(PCT Rule 44.1)  Date of mailing			
The state of the s	(day/month/year) 13/06/2000			
Applicant's or agent's file reference 402562W0	FOR FURTHER ACTION See paragraphs 1 and 4 below			
International application No. PCT/EP 00/02617	International filing date (day/month/year) 23/03/2000			
KONINKLIJKE KPN N.V.				
The applicant is hereby notified that the International Search Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claim  The applicant is entitled.	s of the International Application (see Rule 46):			
When? The time limit for filing such amendments is noma International Search Report; however, for more de	lly 2 months from the date of transmittal of the tails, see the notes on the accompanying sheet.			
Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35				
For more detailed instructions, see the notes on the accordance	mpanying sheet.			
<ol> <li>The applicant is hereby notified that no International Search Article 17(2)(a) to that effect is transmitted herewith.</li> </ol>	Report will be established and that the declaration under			
3. With regard to the protest against payment of (an) addition	nal fee(s) under Rule 40.2, the applicant is notified that:			
	transmitted to the later of the			
no decision has been made yet on the protest; the appli	icant will be notified as soon as a decision is made.			
4. Further action(s): The applicant is reminded of the following:				
Shortly after 18 months from the priority date, the international applif the applicant wishes to avoid or postpone publication, a notice priority claim, must reach the International Bureau as provided in completion of the technical preparations for international publications.	or windrawal of the international application, or of the Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the ion.			
Within 19 months from the priority date, a demand for international wishes to postpone the entry into the national phase until 30 mon	tris from the priority date (in some Offices even later).			
Within 20 months from the priority date, the applicant must perform before all designated Offices which have not been elected in the priority date or could not be elected because they are not bound to	the prescribed acts for entry into the national phase			

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Grace Casuga

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

## INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international politication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.



The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]: "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]:
   "Claims 1 to 15 replaced by amended claims 1 to 11."
- 3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
  "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
  "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

## it must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

# Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

# Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

Notes to Form PCT/ISA/220 (second sheet) (January 1994)

From the RECEIVING OFFICE		. PCT		
To: Wiggert, Johan, Kruk KONINKLIJKE KPN N.V. P.O. Box 95321 NL-2509 CH Den Haag PAYS-BAS	All the state of t		APPLICAT INTERN	ON OF THE INTERNATIONAL TION NUMBER AND OF THE NATIONAL FILING DATE (PCT Rule 20.5(c))
		Date of (day/mo	mailing onth/year)	0 4, 04, 2000
Applicant's or agent's file reference 402562WO			IMPORT	TANT NOTIFICATION
International application No. PCT/EP 00/ 02617	International filing date 23/03,		th/year)	Priority date (day month year) 01/04/1999
Applicant KONINKLIJKE KPN N.V.				
Title of the invention				
1. The applicant is hereby notified that the international filing date indicated 2. The applicant is further notified that the Bureau on the above date of mailing. 3. Other:  * The International Bureau monitors the (with Form PCT/IB/301) of its receipt the priority date, the International Bureau monitors and mailing address of the receipt.	above.  the record copy of the interest of the interest of the record copy in the record copy in the applicant of the applica	opy by the ot have be	e receiving O en received b	as transmitted to the International
Name and mailing address of the receiving  European Patent Office, P.B.  NL-2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 3  Fax: (+31-70) 340-3016	5818 Patentlaan 2	Authoriz	ed officer <b>G</b> i	Koestel

IPEA/ EP

## **PCT**

**CHAPTER II** 

#### **DEMAND**

under Article 31 of the Patent Cooperation Treaty:

The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

For International Preliminary Examining Authority use only			
Identification of IPEA		Date of receipt of DEMAND	
Box No. I IDENTIFICATION OF T	HE INTERNATIONAL	APPLICATION	Applicant's or agent's file reference
International application No.	International filing date	(day/month/year)	(Earliest) Priority date (day/month/year)
	23 MAR 2000(2		
a function and a key.	enciphering a	series of	symbols applying
Box No. II APPLICANT(S)		·	
Name and address: (Family name followed by The address must include p	given name; for a legal entity, j ostal code and name of country:	full official designation.	Telephone No.:
KONINKLIJKE KPN N.V. Stationsplein 7			+31 70 3323678
9726 AE GRONINGEN The Netherlands			+31 70 3323840
The wetherlands	·		Teleprinter No.:
State (that is, country) of nationality:		State (that is, countr	y) of residence:
NL		NL	
MULLER, Frank Meerkoetlaan 24 2623 NJ DELFT The Netherlands	given name; for a legal entity, fi	ull official designation. The	address must include postal code and name of country.)
State (that is, country) of nationality:			
NI.		State (that is, country  NL	y) of residence:
Name and address: (Family name followed by g	given name; for a legal entity, fi	all official designation. The	address must include postal code and name of country.)
PRINS, Sharon Christi Kleine Persterstraat 9711 KN GRONINGEN The Netherlands	e Leslev	•	
State (that is, country) of nationality:		State (that is, country) NL	of residence:
X Further applicants are indicated on	a continuation sheet.		

Form PCT/IPEA/401 (first sheet) (July 1998; reprint January 2000)

See Notes to the demand form





#### Sheet No. 2..

International application No. PCT/EP00/02617

Continuation of Box No. II APPLICANT(S)		
If none of the following sub-boxes is used, this	s sheet should not be included in the demand.	
Name and address: (Family name followed by given name; for a legal entity, fur ROELOFSEN, Gerrit Rijndijk 60A 2331 AH LEIDEN The Netherlands	ll official designation. The address must include pastal code and name of country.)	
State (that is, country) of nationality:	State (d	
· · · · · · · · · · · · · · · · · · ·	State (that is, country) of residence:	
NL	NL	
State (that is, country) of nationality:	State (that is, country) of residence:	
Name and address: (Family name followed by given name; for a legal entity, fin	ll official designation. The address must include postal code and name of country.)	
State (that is, country) of nationality:	State (that is, country) of residence:	
Name and address: (Family name followed by given name; for a legal entity, full state (that is, country) of nationality:	Il official designation. The address must include postal code and name of country.)  State (that is, country) of residence:	
Further applicants are indicated on another continuation shee		

Form PCT/IPEA/401 (continuation sheet) (July 1998; reprint January 2000)

See Notes to the demand form



International application No.

	FCI/EPUU/UZUI/
Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR COR	RESPONDENCE
The following person is  agent  common representative	
and has been appointed earlier and represents the applicant(s) also for international preli	iminary examination.
is hereby appointed and any earlier appointment of (an) agent(s)/common representation	ative is hereby revoked.
is hereby appointed, specifically for the procedure before the International Preliminative agent(s)/common representative appointed earlier.	_
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	Telephone No.:
	+31 70 3323678
KLEIN, Bart Koninklijke KPN N.V.	Facsimile No.:
)	+31 70 3323840
2509 CH The Hague	Teleprinter No.:
The Netherlands	Total Inc.
Address for correspondence: Mark this check-box where no agent or common rep	presentative is/has been appointed and the
space above is used instead to indicate a special address to which correspondence s	should be sent.
Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION	
Statement concerning amendments:*	
1. The applicant wishes the international preliminary examination to start on the basis of:	
one mediation as originary free	1
the description as originally filed	
as amended under Article 34	
the claims	
as amended under Article 19 (together with any accompanying	statement)
as amended under Article 34	•
the drawings as originally filed	
as amended under Article 34	
2. The applicant wishes any amendment to the claims under Article 19 to be considered.	
3. The applicant wishes the start of the international preliminary examination to be pos from the priority date unless the International Preliminary Examining Authority re under Article 19 or a notice from the applicant that he does not wish to make such as	ceives a copy of any amendments made
box may be marked only where the time limit under Article 19 has not yet expired.)	
Where no check-box is marked, international preliminary examination will start on the as originally filed or, where a copy of amendments to the claims under Article 19 and/or amunder Article 34 are received by the International Preliminary Examining Authority before or the international preliminary examination report, as so amended.	endments of the international application
Language for the purposes of international preliminary examination: English	
which is the language in which the international application was filed.	1
which is the language of a translation furnished for the purposes of international	al search.
which is the language of publication of the international application.	
which is the language of the translation (to be) furnished for the purposes of in	nternational preliminary examination.
Box No. V ELECTION OF STATES	
The applicant hereby elects all eligible States (that is, all States which have been designated the PCT)	d and which are bound by Chapter II of
excluding the following States which the applicant wishes not to elect:	
·	

Sheet No. ...



Sheet No. ..4

Þ	
	International application No.

		PCT	/EP0002617		
Box No. VI CHECK LIST					
The demand is accompanied by the following elem Box No. IV. for the purposes of international pre	nents, in the language referred t liminary examination:	o in Ex	or International Prelimir amining Authority use	nary only	
translation of international application		re	ceived not recei	ved 1	
2. amendments under Article 34		eets		<b>]</b>	
3. copy (or, where required, translation) of	. 2110	ecis	L L	J	
amendments under Article 19	: she	eets			
<ol> <li>copy (or, where required, translation) of statement under Article 19</li> </ol>	: She	eets			
5. letter	: she				
6. other (specify)	: she				
The demand is also accompanied by the item(s) mar	ked below:				
1. X fee calculation sheet	<b></b>	ment explaining la	ck of signature		
2. separate signed power of attorney	5. nucl	eotide and or amin	o acid sequence listing	in	
<ol> <li>x copy of general power of attorney; reference number, if any:</li> </ol>		computer readable form other (specify):			
Box No. VII SIGNATURE OF APPLICANT, A					
Next to each signature, indicate the name of the person signing a	and the capacity in which the person s	igns (if such capacity is	not obvious from reading th	ne demand).	
	_				
Bar	t Klein				
The	Professional Re	presentat:	ive		
For International	d Preliminary Examining Auth	ority use only —			
1. Date of actual receipt of DEMAND	•	,,			
2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):					
The date of receipt of the demand is AFT from the priority date and item 4 or 5, be	ER the expiration of 19 months		applicant has been brined accordingly.		
4. The date of receipt of the demand is W Rule 80.5.	ITHIN the period of 19 month			virtue of	
5. Although the date of receipt of the dema is EXCUSED pursuant to Rule 82.	nd is after the expiration of 19	months from the p	priority date, the delay in	n arrival	
Fo	r International Bureau use only	·		J	
Demand received from IPEA on:	•				
TT PCT/IPE A/AOL (lost short) (1.1. 1000					

Form PCT/IPEA/401 (last sheet) (July 1998; reprint January 2000)

See Notes to the demand form





# FEE CALCULATION SHEET

## Annex to the Demand for international preliminary examination

International application No. DOT / EDGO / 00617	For International Preliminary Examining Authority use only
Applicant's or agent's file reference	Date stamp of the IPEA
402562W0 Applicant	
Koninklijke KPN N.V.	
Calculation of prescribed fees	
1. Preliminary examination feeE	UR 1533 P
2. Handling fee (Applicants from certain States are entitled to a reduction of 75% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 25% of the handling fee.)	UR 147 H
3. Total of prescribed fees  Add the amounts entered at P and H  and enter total in the TOTAL box	UR 1680 TOTAL
Mode of Payment	
authorization to charge deposit account with the IPEA (see below) cash	
cheque	stamps
postal money order coupons	
bank draft other (s)	. <b>     </b>
Deposit Account Authorization (this mode of payment may not be	he available at all IPE4e1
	total fees indicated above to my deposit account.
(this check-box may be marked only authorized to charge any deficient my deposit account.	o if the conditions for deposit accounts of the IPEA so permit) is hereby new or credit any overpayment in the total fees indicated above to
1	
28090011 2b June 2000	
Deposit Account Number Date (day/month/year)	Signature Klein, Bart

Form PCT/IPEA/401 (Annex) (July 1998; reprint January 2000)

See Notes to the fee calculation sheet

Nr. der allgemeinen Vollmacht/General Authorisation No. N° du equivoir général

21396 (rev.)

2	tch (Wir)/1 (We)/Je (Nous)
	Koninklijke KPN N.V.
	Stationsplein 7
	9726 AE GRONINGEN
	The Netherlands
3	bevollmächtige(n) hiemit/do hereby authorise/autorise (autorisons) par la présente
	KLEIN, Bart (Professional Representative)
	mailing address: Koninklijke KPN N.V.
	Intellectual Property Group
	P.O. Box 95321
	2509 CH THE HAGUE
	The Netherlands
4	mich (uns) in den durch das Europäische Patentübereinkommen geschaffenen Verfahren in allen meinen (unseren) Patentangelegenheiten zu vertreter alle Handlungen für mich (uns) vorzunehmen und Zahlungen für mich (uns) in Empfang zu nehmen. to represent me (us) in all proceedings established by the European Patent Convention and to act for me (us) in all patent transactions and to receiv psyments on my (our) behalf.
	àme (nous) représenter pour ce qui concerne toutes mes (nos) affaires de brevet dans toute procédure instituée par la Convention sur le brevet europée et à ce titre, à agir en mon (notre) nom et à recevoir des palements pour mon (notre) compte.
	Die Vollmacht gilt auch für Verlahren nach dem Vertrag über die internationale Zusammenarbeit auf dem Gebiet des Patentwesens. This authorisation shall also apply to the same extent to any proceedings established by the Patent Cooperation Treaty. Ce pouvoir s'applique également à toute procédure instituée par la Traité de coopération en matière de brevets.
	Weitere Vertreter sind auf einem gesonderten Blatt angegeben. / Additional representatives indicated on supplementary sheet. Les autres mandataires sont mentionnés sur une feuille supplémentaire.
5	Untervollmacht kann erteilt werden. / Sub-authorisation may be given. / Le pouvoir pourra être délégué.
6	Bitte die gelbe Kople, ergânzt um die Nr. der allgemeinen Vollmacht, an den Vollmachtgeber zurücksenden. Please return the yellow copy, supplemented by the General Authorisation No., to the authorisor. Prière de renvoyer la copie jaune au mandant, munie du n° du pouvoir général.
	On/Place/Lieu The Hague Datum/Date September 01, 1998
	Unterschrift(en) / Signature(s)
i	KLEIN Bart (Professional Representative)
7	Das Formblatt muß vom (von den) Voltmachtgeber(n) (bei juristischen Personen vom Unterschriftsberechtigten) eigenhändig unterzeichnet sein. Nach der Unterschrift bitte di (die) Namen des (der) Unterzeichneten mit Schreibmaschine wiederholen (bei juristischen Personen die Stellung des Unterschriftsberechtigten innerhalb der Gesellschu angeben).
٠	The formmust bear the personal signature(s) of the authorisor(s) (in the case of legal persons, that of the officer empowered to sign). After the signature, please type the mame; of the signatory(ies) adding, in the case of legal persons, his (their) position within the company.
	Le formulaire doit être signé de la propre main du (des) mandant(s) (dans le cas de personnes morales, de la personne ayant qualité pour signer). Yeuillez ajouter à la mach in après la signature, le (les) nom(s) du (des) signataire(s) en mentionnant, dans le cas de personnes morales, ses (leurs) fonctions au sein de la sociét à



## **REQUEST**

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

	!			
FOT recei	vina Of	Tice use	only	_

# International Application No. 0 2 6 1 7

2 3 MAR 2000 International Filing Date

( 23. 03. 2000)

EUROPEAN PATENT OFFICE PCT INTERNATIONAL APPLICATION

Name of receiving Office and "PCT International Application"

	Applicant's or agent's file (if desired) (12 characters max	
Box No. 1 TITLE OF INVENTION		
Method for enciphering a series o a kev.	f symbols apply	ying a function and
Box No. II APPLICANT		
Name and address: (Family name followed by given name: for a designation. The address must include postal code and name of cou address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)	legal entity, full official niry. The country of the y) of residence if no State	This person is also inventor.
PONTNEITIEF PON N U	1	Telephone No.
KONINKLIJKE KPN N.V. Stationsplein 7	-	-31 70 3323678 Facsimile No.
9726 AE GRONINGEN		-31 70 3323840
The Netherlands		Celeprinter No.
State (that is, country) of nationality:	State (that is, country) of t	esidence:
NL	NL	·
This person is applicant for the purposes of:  all designated		United States the States indicated in the Supplemental Box
Box No. III FURTHER APPLICANT(S) AND/OR (FURT	HER) INVENTOR(S)	
Name and address: (Family name followed by given name: for a designation. The address must include postal code and name of cou address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)	legal entity, full official ntry. The country of the e) of residence if no State	This person is:  applicant only
MULLER Frank	·	applicant and inventor
rrank Meerkoetlaan 24		inventor only (If this check-bax
2623 NJ DELFT		is marked, do not fill in below.)
The Netherlands State (that is, country) of nationality:		
NL	State (that is, country) of r	esidence:
This person is applicant all designated all designate	d States except [] the	United States
	The state of America	merica only the Supplemental Box
Further applicants and/or (further) inventors are indicated of	on a continuation sheet.	
Box No. IV AGENT OR COMMON REPRESENTATIVE		RRESPONDENCE
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authorities	as: X ag	ent common representative
Name and address: (Family name followed by given name; for a designation. The address must include postal co	legal entity, full official ode and name of country.	Felephone No.
		+81 70 3323678
Wiggert Johan <u>KRUK</u> KONINKLIJKE KPN N.V.	PAE	Fassimile No.
P.O. BOX 95321	٤	31 70 3323840
2509 CH THE HAGUE	<u>}-</u>	Celeprinter No.
The Netherlands		
Address for correspondence: Mark this check-box where respace above is used instead to indicate a special address to w	no agent or common represe which correspondence should	ntative is/has been appointed and the

Form PCT/RO/101 (first sheet) (July 1998; reprint January 2000)

See Notes to the request form

all designated States except the United States of America

all designated

Further applicants and/or (further) inventors are indicated on another continuation sheet.

This person is applicant

for the purposes of:

the States indicated in

the Supplemental Box

the United States

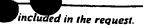
of America only

Sheet No. . 3.....

В	ox No	.V DESIGNAT	4	ATES					
T	he fol	lowing designations a	re hereby	made unde	Rule 4 9(a) (mark	the a	policable cheek house, at least one must be usefully		
The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked): Regional Patent									
	•		Ghana i	CM Combin	VEV				
		Protocol and of the F	PCT	una, oo og	aida, Zw Zimbab	we, a	o, MW Malawi, SD Sudan, SL Sierra Leone, SZ Swaziland any other State which is a Contracting State of the Harar		
×	) EA	Eurasian Patent: A RU Russian Federati Convention and of the	ion, ro ra	nia, AZ Az ijikistan, TM	erbaijan, BY Belai I Turkmenistan, an	us, K dany	G Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova other State which is a Contracting State of the Eurasian Pater		
		European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT							
A	JUA		a member	State of OA	Pl and a Contractin	Mau o Stat	n Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon ritania, NE Niger, SN Senegal, TD Chad, TG Togo, and an e of the PCT (if other kind of protection or treatment desired		
N	ation	al Patent (if other kind	of protect	ion or treatm	ent desired, specify i	on dot	ted line)		
K	AE	United Arab Emirate	es				·		
		Albania			_		Liberia		
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X	KR	Republic of Korea					Zimbabwe		
$\overline{\mathbf{x}}$	ΚZ	Kazakhstan			bec	ome n	oxes reserved for designating States which have party to the PCT after issuance of this sheet:		
		Saint Lucia		· · · · · · · ·					
		Sri Lanka			=	• • • •			
_		_		_		• • • •			
rre d	cauti	onary Designation St	tatement:	In addition	to the designation	s mad	e above, the applicant also makes under Rule 4.9(b) all other		
incs:	ignati n the	score of this state—	permitted t	under the PC	T except any desi	gnatic	e above, the applicant also makes under Rule 4.9(b) all other on(s) indicated in the Supplemental Box as being excluded		
desi	gnatio	on which is not confirm	ned before	the evairation	on of 15 months &	OUTTO	nai designations are subject to confirmation and that any		
ai th	e exp	iration of that time lim	it. (Confir	mation (inch	ui vi 13 months fro dino feet) must mass	m the	that designations are subject to confirmation and that any priority date is to be regarded as withdrawn by the applicant		
	the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)								

Sheet No. 4.... Box No. VI PRIORITY Further prid claims are indicated in the Supplemental Box. Number Filing date Where earlier application is: of earlier application of earlier application national application: regional application:\* international application: (day/month/year) country regional Office receiving Office item (1) (01.04.99)1 APR 1999 1011719 NL item (3) The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s): Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris
Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box. INTERNATIONAL SEARCHING AUTHORITY Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used): Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority): Date (day/month/year) Country (or regional Office) Number ISA/ 30 AUG 1999 SN33093NL NL Box No. VIII CHECK LIST; LANGUAGE OF FILING This international application contains This international application is accompanied by the item(s) marked below: the following number of sheets: 1. Tee calculation sheet 2. X. separate signed power of attorney description (excluding sequence listing part) 3. copy of general power of attorney; reference number, if any: claims 4. T statement explaining lack of signature abstract 5. priority document(s) identified in Box No. VI as item(s): drawings 3 6. translation of international application into (language): sequence listing part 7. separate indications concerning deposited microorganism or other biological material of description 8. In nucleotide and/or amino acid sequence listing in computer readable form Total number of sheets: 9. 🔼 other (specify): search report Figure of the drawings which Language of filing of the should accompany the abstract: international application: English Box No. IX SIGNATURE OF APPLICANT OR AGENT Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request). KRUK, Wiggert Johan For receiving Office use only 1. Date of actual receipt of the purported 2. Drawings: international application: 7 3 03, 2000 2 3 MAR 2000 Corrected date of actual receipt due to later but received: timely received papers or drawings completing the purported international application: Date of timely receipt of the required corrections under PCT Article 11(2): not received: International Searching Authority ISA/ Transmittal of search copy delayed (if two or more are competent): until search fee is paid. - For International Bureau use only

Date of receipt of the record copy by the International Bureau use only by the International Bureau:



1. If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which

- if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write if more inan two persons are involved as applicants ana/or inventors and no continuation sneet is avaitable: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated
- if. in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- if. in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the surposes of which the named person is inventor.
- if. in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
- if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing
- if. in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;
- if. in Box No. VI, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. VI", specify the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed.
- 2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the
- 3. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lqck of novelty: in such case, write "Statement concerning non-prejudicial disclosures or exceptions to lack of novelty" and furnish that statement below.

MULLER Frank



1. If. in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
- (ii) if. in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. II" or "Continuation of Box No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- (iii) if. in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing
- (vi) if, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;
- (vii) if. in Box No. VI, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. VI", specify the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed.
- 2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.
- 3. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty: in such case, write "Statement concerning non-prejudicial disclosures or exceptions to lack of novelty" and furnish that statement below.

PRINS

Sharon Christie Lesley

Form PCT/RO/101 (supplemental sheet) (January 2000)

See Notes to the request form

nental Box is not used, this sheet should no

included in the request.

1. If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated
- (ii) if. in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurosian, European or OAPI patent) for the purposes of which the named person is applicant;
- (iii) if. in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
- (v) if, in Box No. V, the name of any State (or OAP!) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAP!), and after the name of each such State (or OAP!), the number of the parent title or parent application and the date of grant of the parent title or filing
- (vi) if, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;
- (vii) if. in Box No. VI, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. VI", specify the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed.
- 2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.
- 3. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty: in such case, write "Statement concerning non-prejudicial disclosures or exceptions to lack of novelty" and furnish that statement below.

ROELOFSEN Gerrit

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